Our mission is to provide asset management services driven by conviction and responsibility.

CANDRIAM Snapshot as of 31 December 2020

Assets Under Management increased compared to the end of 2019

78% (€10 billion) from €130 billion at the end of 2019 to €140 billion at the end of 2020.

86%

of traditional investment funds had 3, 4 or 5 stars from Morningstar at the end of 2020*

Morningstar and/or its information providers. It is given without Its reproduction or redistribution is strictly forbidden. Overall Morningstar Rating for all Candriam funds, as of December 2020

Our clients AUM by client type^{*}

Institutional Investors 61% Financial Distributors 35% High-Net-Worth Individuals 4% €3.9bn annual net sales €140bn* total AUM

*Assets under management (AUM) includes assets which do not fall within the U.S. Securities and Exchange Commission's definition of 'regulatory AUM' in Form ADV, Part 1A. **CANDRIAM is a New York Life Company. New York Life Investments ranks among the world's largest asset managers (source: New York Life Investments ranked 35th among the world's largest money managers within Pensions & Investments (June 2020). Rankings are based on total worldwide institutional assets under management for the year-end 2019. New York Life Investments assets include assets of affiliated investment advisors). *** More than 90% of these €88bn AUM are aligned with "Article 8 or 9", according to the Sustainable Finance Disclosure Regulation. "Article 9" products have defined and quantifiable ESG (Environmental, Social and Governance) objectives. They are products with a social or environmental objective aligned with the definition of sustainable investments. "Article 8" products do not have a defined ESG objective but take ESG criteria into consideration when constructing their portfolios. The remaining 10% of these €88bn are not considered in the scope of SFDR (mostly non EU assets, and advisory) as at 10 March 2021

Our presence worldwide



Madrid Milan New York Zurich



Our expertise

38%

32%

23%

7%

Multi-asset

Fixed income

Equity

Part of

and money market

Absolute return

New York Life

€88.3bn***

a Fortune** 100[©] company

ESG AUM = 63% of our total AUM

20+countries served by CANDRIAM and its licensees/3rd parties

Stewa

Stewardship and engagement				Environmental impact	
Top topics Energy Transition Fair Work Conditions Business Ethics		EXAMPLE 1,180 Voted Meeting Geographical slip of meetings		GHG emissions Scope 1 and 2 emissions:	
					613 tCO2e* (operational footprint)
206 corporate conta	acted	43% Europe	17% North America		
individually		30% Asia	10% Rest of the World		GHG emissions for the full operational value chain (Scope 1, 2 and 3 Upstream only
ESG breakdown of the companies contacted (direct dialogue)		Remuneration of Management and Directors (voting)			12,065 tCO2e*
Social	44%	30% votes against		CO ₂	Over the last 3 years, CANDRIAM has offset
Environment	27%				34 KtCO2e
Governance	15%	Engaged votes (voting) 63% of AGMs with at least one vote against management			to compensate its emissions
Overlapping ESG Issues	14%			* The cal	culations were provided by Trucost

CANDRIAM employees



All data in the entire report as of 31 December 2020 and covering all CANDRIAM offices unless otherwise mentioned.

66% 34%





10.5 average length of service



