



Candriam's Guiding principles on ESG Promotion & Influence

CANDRIAM is committed to the Principles for Responsible Investment (PRI). This commitment is reflected in all of CANDRIAM's activities as well as promoted in our relations with our stakeholders including the associations CANDRIAM is member of.

The Global Strategic Committee monitors the association membership and decides who represents Candriam within those associations. The principle is that ESG experts actively and regularly participate to RI working groups within industry associations and associations with an ESG purpose. Leading roles are also supported. This is both to ensure CANDRIAM has a voice on important ESG policy as well as to connect with policy-makers and policy-setters at the EU level, particularly on issues related to feasibility of new ESG standards and regulations. In their mission in associations,

ESG experts are also in charge of PRI implementation principles within Candriam and foster alignment of decisions within Candriam and externally, based on Candriam's own ESG practices which favour stewardship to elevate ESG standards, promote ESG integration and ESG practices in investments.

In the specific case of consultation on policies, ESG experts contribute to the association's answers and statement disclosed. Even if most of the time Candriam also answers individually to the consultations, if the associations answer not sufficiently reflect Candriam's opinion, an individual answer is disclosed.

Candriam's communication strategy focuses on promoting ESG practices and sharing Candriam's expert ESG views, using press, media and industry conferences. The Candriam Institute puts into practice our commitment to ESG development and promotion, by financing independent academic ESG research and promoting ESG education (via the free-for-all Candriam Academy).

Candriam 's participation related to ESG in trade associations and other think-tanks promoting ESG

The following is a list of our third-party memberships in or support for trade associations, think-tanks or similar that conduct policy engagement activities with our support or endorsement in the ESG field.

	Name of Association	Joined in
SRI Working Groups within:	BEAMA - Belgian Asset Managers Association	2004
	AFG - Association Française de La Gestion financière	2003
	EFAMA - European Fund And Asset Management Association	2010
Several Social Investment Forums, such as:	Eurosif - European Sustainable Investment Forum	2003
	VRDQ - Dutch Sustainable Investment Forum	2007
	Forum Nachhaltige Geldanlagen (Germany, Switzerland & Austria)	2010
	Swiss Sustainable Finance (Switzerland)	2014
	Forum pour la Finance Responsable (French SIF FIR)	2014
	Forum per la Finanza Sostenibile (Italy)	2015
	UKSIF - United Kingdom Sustainable Investment Forum	2016
	US SIF - United States Forum for Sustainable & Responsible Investment	2016
Other sustainability-oriented investor bodies	ABIS - The Academy of Business in Society	2005
	IIGCC - The Institutional Investors Group on Climate Change	2020
	Investor Alliance for Human Rights (ICCR Initiative) https://investorsforhumanrights.org/	2021

Answer to 2020 consultations in the ESG field

During 2020, a lot of consultations and work were conducted related to the EU Action Plan for Sustainable Finance. For most of them, Candriam’s representatives participated to working groups within industry associations and a Candriam’s response has also been provided.

2020 Consultations answered via Industry Associations (*)	Candriam individual answer
EC - Revision on the non financial reporting directive	yes (**)
EC- Delegated Acts ESG Disclosures UCITS/AIFM/MIFID	yes
EC- Renewed Sustainable Finance Strategy	yes
ESAs - Joint ESA consultation on ESG Disclosure	yes (**)
ESAs - Survey on templates for Environmental and/or Social financial products under SFDR	yes (**)
EC& TEG- EU Green Bonds Standards	yes
CFA - Disclosure standards for SRI funds	yes (**)
EU Eco label - 3d consultation JRC report	no
IFRS consultation on Sustainability reporting	yes (**)
ESMA - EU Taxonomy article 8	no

(*) all consultations at least answered by AFG and EFAMA (**) public disclosure